I. OVERVIEW OF THE FUNDING OPPORTUNITY

Program Announcement for the Department of Defense

Defense Health Program

Congressionally Directed Medical Research Programs

Peer Reviewed Medical Research Program

Investigator-Initiated Research Award

Announcement Type: Initial

Funding Opportunity Number: HT9425-23-PRMRP-IIRA

Assistance Listing Number: 12.420 Military Medical Research and Development

SUBMISSION AND REVIEW DATES AND TIMES

• **Pre-Application Submission Deadline:** 5:00 p.m. Eastern time (ET), April 19, 2023

• **Application Submission Deadline:** 11:59 p.m. ET, May 31, 2023

• End of Application Verification Period: 5:00 p.m. ET, June 5, 2023

• Peer Review: August 2023

• **Programmatic Review:** November 2023

This program announcement must be read in conjunction with the General Application Instructions, version 800. The General Application Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

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II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

II.A. Program Description

Applications to the Fiscal Year 2023 (FY23) Peer Reviewed Medical Research Program (PRMRP) are being solicited by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 4001 (10 USC 4001). The execution management agent for this program announcement is the Congressionally Directed Medical Research Programs (CDMRP) at the U.S. Army Medical Research and Development Command (USAMRDC). The PRMRP was initiated in 1999 to support medical research projects of clear scientific merit and direct relevance to military health. Appropriations for the PRMRP from FY99 through FY22 totaled \$3.45 billion. The FY23 appropriation is \$370 million (M).

The vision of the PRMRP is to improve the health, well-being, and care of all military Service Members, Veterans, and Beneficiaries, and its mission is to encourage, identify, select, and manage medical research projects of clear scientific merit that lead to impactful advances in health care of Service Members, Veterans, and Beneficiaries. The PRMRP challenges the scientific and clinical communities to address the FY23 PRMRP Topic Areas with original ideas that foster new directions along the entire spectrum of research and patient care. The program seeks applications in laboratory, clinical, behavioral, epidemiological, and other areas of research to advance knowledge in disease etiology; improve prevention, detection, diagnosis, treatment, and quality of life for those affected by a relevant disease or condition; and develop and validate clinical practice or public health guidelines.

The proposed research must be relevant to active-duty Service Members, Veterans, military beneficiaries, and/or the American public.

II.A.1. FY23 PRMRP Topic Areas and Strategic Goals

All applications for FY23 PRMRP funding must specifically address one of the FY23 PRMRP Topic Areas as directed by the U.S. Congress and have direct relevance to military health. Additionally, the PRMRP implements a portfolio-driven approach by grouping related Topic Areas with Strategic Goals as a framework within which to address critical gaps in major research areas. All applications must address one of the FY23 PRMRP Strategic Goals as it relates to the portfolio-assigned FY23 PRMRP Topic Area. If the proposed research does not specifically address one FY23 PRMRP Topic Area and one FY23 PRMRP Strategic Goal, then the government reserves the right to administratively withdraw the application. The government reserves the right to reassign the application's Topic Area if submitted to an incorrect Topic Area. The FY23 PRMRP Topic Areas and Strategic Goals are listed in each PRMRP portfolio category below:

FY23 PRMRP Portfolio Categories with Associated FY23 PRMRP Topic Areas and FY23 PRMRP Strategic Goals

Autoimmune Disorders and Immunology

Topic Areas

- Celiac Disease
- Eczema
- Food Allergies
- Guillain-Barre Syndrome
- Inflammatory Bowel Disease
- Neuroinflammatory Responses to Emerging Viral Diseases
- Proteomics
- Rheumatoid Arthritis
- Scleroderma

Strategic Goals

Foundational Studies

- Identify factors, to include environmental exposures, lifestyle triggers, genetic risk factors, dietary practices, and past medical history, impacting the onset and progression of associated immune-mediated diseases
- Elucidate and prevent neurological, psychiatric, and psychosocial impact of associated immune-mediated diseases
- Determine the impact of the microbiome and/or gut-mediated inflammation on associated immune-mediated diseases

Diagnosis

- Develop innovative noninvasive methods for diagnosis and continuous monitoring of inflammation
- Identify biomarkers, including multi-omics approaches, to diagnose or predict onset and/or progression of associated immune-mediated diseases
- Develop tools to assess cognitive dysfunction associated with neurological implications of associated immune-mediated diseases

Treatment

- Develop and test therapeutic interventions to promote tissue healing
- Develop and test new treatments and/or refine existing treatment strategies to minimize toxicity, and mitigate the inflammatory, immune, and/or allergic disease state

Epidemiology

- Conduct patient-centered research on onset, exacerbation, outcomes, and treatment preferences for associated immune-mediated diseases
- Conduct population-based studies to identify risk factors that contribute to onset and progression associated immune-mediated diseases and comorbidities
- Conduct patient-centered research to decrease disease burden for military families

Cardiovascular Health

Topic Areas

- Familial Hypercholesterolemia
- Hypercholesterolemia

- Proteomics
- Vascular Malformations

Strategic Goals

Prevention

• Elucidate and prevent the impact of cardiovascular conditions on the heart, brain, arteries, and additional target organs across a patient's life span

Diagnosis

• Develop strategies to enable detection of associated cardiovascular conditions before clinical symptoms are apparent

Treatment

• Develop novel therapeutics or advance treatment regimens for associated cardiovascular conditions that address sex/gender, ethnic, and/or racial differences

Epidemiology

- Identify risk factors that contribute to associated cardiovascular conditions in civilian and/or military populations
- Conduct population-based or outcomes-based research to identify sex, gender, ethnic, racial, psychosocial, and/or quality of life long-term impacts of associated cardiovascular conditions

Hemorrhage Control and Blood Products

Topic Areas

• Hemorrhage Control

• Trauma

Proteomics

Strategic Goals

Diagnosis

• Develop strategies or innovative technologies (to include wearable devices) for early detection of internal bleeding, trauma-induced coagulopathy, or hypovolemic shock

Treatment

- Develop smart or automated tourniquets or battlefield hemostatic dressings with antimicrobial and/or analgesic effects
- Develop innovative damage control capabilities and solutions for control of noncompressible torso hemorrhage, especially interventions that can be used in austere environments
- Develop and evaluate regulatory-compliant strategies to improve the manufacture of whole blood and blood components with advantages in efficiency and timeliness over current manufacturing methods
- Develop and evaluate strategies to improve blood and blood product shelf life and transport, to minimize waste, and to reduce the logistical footprint

Epidemiology

- Evaluate the effects of current combat blood product transfusion guidelines on immunological status and clinical outcomes
- Determine physiological impacts of blood loss (e.g., walking donors) on the ability to sustain performance in extreme environments

Infectious Diseases

Topic Areas

- Hepatitis B
- Malaria
- Neuroinflammatory Responses to Emerging Viral Diseases
- Proteomics
- Tuberculosis

Strategic Goals

Foundational Studies

• Elucidate and prevent long-term complications following infections, including comorbidities

Prevention

- Develop or optimize vaccine strategies, vaccine platforms, or compounds of any preventive type, to include active or passive immunoprophylaxis
- Develop strategies to eliminate/reduce mother-to-child transmission
- Develop strategies for rapid prediction of protective antigens/epitopes

Diagnosis

- Identify testable correlates of protection induced by prophylactic treatment or natural infection
- Develop pathogen-agnostic diagnostic tools or improve existing next-generation tools based on patient sample that are readily available for easier diagnosis (e.g., urine, sweat, biometrics)

Treatment

- Expand upon current treatment (not including discovery or testing of new chemical entities) or establish new disease-specific clinical networks for therapeutic drug testing for severe or chronic disease
- Develop and test more effective and shorter treatment regimens, including those that address treatment resistance (not including discovery or testing of new chemical entities)

Epidemiology

• Identify strategies for surveillance or develop modeling tools and/or biomarkers to predict outbreaks or epidemics

Internal Medicine

Topic Areas

- Endometriosis
- Focal Segmental Glomerulosclerosis
- Interstitial Cystitis
- Lymphatic Disease
- Lymphedema

- Nephrotic Syndrome
- Pancreatitis
- Polycystic Kidney Disease
- Pressure Ulcers
- Proteomics

Strategic Goals

Foundational Studies

• Improve understanding of long-term complications and comorbidities of associated diseases and conditions

Prevention

Develop and test strategies to prevent associated diseases or comorbidities

Diagnosis

- Develop tools or technologies for early detection, accurate diagnosis, or tracking of disease progression, including non-invasive methods, of associated diseases and conditions
- Develop tools to reduce time between presentation of symptoms and required specialized care for associated disease or condition management

Treatment

• Develop and test novel treatments, and/or improve upon existing treatments for associated diseases and conditions, which may include lifestyle interventions to improve psychosocial functioning and quality of life

Epidemiology

- Elucidate factors (e.g., medication toxicity, genetic predisposition, infections) that influence development, progression, and outcomes (including psychosocial functioning and quality of life) of associated diseases and conditions
- Develop surrogate endpoints to accelerate approval of new treatments for associated diseases and conditions
- Conduct patient-centered research to decrease disease burden for military families

Neuroscience

Topic Areas

- Eating Disorders
- Maternal Mental Health
- Myalgic Encephalomyelitis/ Chronic Fatigue Syndrome
- Neuroactive Steroids
- Neuroinflammatory Responses to Emerging Viral Diseases
- Non-Opioid Therapy for Pain Management
- Peripheral Neuropathy
- Proteomics
- Sleep Disorders and Restriction
- Suicide Prevention
- Trauma

Strategic Goals

Foundational Studies

 Identify mechanisms underlying neurological diseases and/or psychological conditions including potential relationships to environmental or neurotoxic exposures, injury, stress, or infections

Prevention

• Test efficacy of methods (e.g., screening, education programs, counseling) to prevent associated conditions or comorbidities

Diagnosis

- Improve and validate diagnostic criteria for neurological health, psychological health, and/or cognitive assessment, which may include development and testing of personalized clinical decision-making tools or development of objective diagnostic criteria
- Develop strategies, such as predictive analytics or artificial intelligence, to provide early identification of associated neurological disease or psychological conditions, with the goal of providing early intervention

Treatment

- Develop and evaluate novel treatments, strategies, or therapeutic targets for associated neurological diseases and psychological conditions, which may include repurposing existing drugs
- Develop capabilities to monitor, and therapies or countermeasures to maintain, optimal cognitive functioning and mental resilience in occupational environments or under sleep restriction (e.g., shift work, insufficient sleep, jet lag)
- Develop and test pain therapies that will not affect the cardiorespiratory system and cognitive abilities for use in trauma, battlefield, or resource-limited environments

Epidemiology

 Conduct population-based studies to identify risk factors (e.g., military-specific lifestyle) that contribute to onset and progression of associated neurological diseases and psychological conditions

Orthopaedic Medicine

Topic Areas

- Arthritis
- Orthopaedics

- Proteomics
- Trauma

Strategic Goals

Foundational Studies

- Understand mechanisms underlying the pathobiology of associated musculoskeletal disorders
- Determine factors that lead to accelerated degeneration following joint injuries

Prevention

- Develop orthopaedic strategies for improved point of injury care to mitigate risk of secondary complications
- Develop and test strategies to prevent infections caused by severe fractures or trauma

Diagnosis

 Develop novel tools/technologies for early and precision diagnosis of associated musculoskeletal disorders

Treatment

- Advance intra-articular treatments for joint injuries
- Develop and test strategies to increase quality of life or halt/slow disease progression, which may include regenerative medicine approaches and biologics for associated musculoskeletal disorders
- Develop and test strategies for rehabilitation regimens to allow Service Members to return to duty

Epidemiology

• Conduct patient-reported outcomes research to inform treatment guidelines and improve exercise recommendations to optimize joint longevity

Rare Diseases and Conditions

Topic Areas

- Dystonia
- Ehlers-Danlos Syndrome
- Epidermolysis Bullosa
- Fibrous Dysplasia/McCune-Albright Syndrome
- Fragile X
- Frontotemporal Degeneration
- Hereditary Ataxia

- Hydrocephalus
- Mitochondrial Disease
- Myotonic Dystrophy
- Proteomics
- Sickle-Cell Disease
- Von Hippel-Lindau Syndrome Benign Manifestations

Strategic Goals

Foundational Studies

• Identify biological mechanisms underlying disease onset, disease progression, or phenotype/symptomatic heterogeneity

Diagnosis

- Identify and validate biomarkers to predict onset or progression of disease
- Develop and validate improved diagnostic criteria and screening tools for early detection or to track disease progression

Treatment

- Develop and test novel treatments or improve upon existing treatment regimens, especially those ready to progress rapidly to the clinical, which may include repurposing drugs or non-prescription treatment options
- Develop and test tissue engineering, gene therapy, or protein replacement strategies for associated diseases and conditions

Epidemiology

- Population-based studies to identify risk or protective factors that influence onset, progression, and/or outcomes of associated diseases and conditions
- Conduct natural history/longitudinal studies to understand incidence, prevalence, and progression of associated diseases and conditions

Respiratory Health

Topic Areas

- Proteomics
- Pulmonary Fibrosis

- Respiratory Health
- Trauma

Strategic Goals

Foundational Studies

• Determine how airborne hazards, toxins, or nanomaterial exposure cause respiratory injury/disease

Prevention

 Prevent lung injury caused by trauma, transfusion, mechanical ventilation, infection, or hemorrhagic shock

Diagnosis

- Develop and validate sensors to assess environmental and/or physiological levels of exposure to airborne hazards or toxins
- Develop a fieldable toolset to monitor lung dysfunction/failure
- Improve early detection for interstitial lung disease

Treatment

- Develop and test novel treatments, including precision medicine approaches, to slow progression or reverse lung injury/disease
- Develop improved fieldable devices to treat traumatic/acute lung injury in far forward settings, including toolsets to enable correct airway placement, oxygenation in austere settings, or miniature and/or semi-automated ventilator

II.B. Award Information

The PRMRP Investigator-Initiated Research Award (IIRA) is intended to support studies that will make an important contribution toward research and/or patient care for a disease or condition related to one of the FY23 PRMRP Topic Areas and one of the FY23 PRMRP Strategic Goals.

The rationale for a research idea may be derived from a laboratory discovery, population-based studies, a clinician's first-hand knowledge of patients, or anecdotal data. Applications must include relevant data that support the rationale for the proposed study. These data may be unpublished or from the published literature.

Impact: The FY23 PRMRP IIRA is designed to support research with the potential to yield highly impactful data that could lead to critical discoveries or major advancements. The application must clearly demonstrate the project's potential short-term and long-term outcome(s)/product(s) (knowledge and/or materiel) and how they will impact a critical problem or question in the field of research and/or patient care in the FY23 PRMRP Topic Area and FY23 PRMRP Strategic Goal addressed.

Research projects may focus on any phase of research from basic laboratory research through translational research, including preclinical studies in animal models and human subjects, as well as correlative studies associated with an existing clinical trial. *This award mechanism may not be used to conduct clinical trials; however, non-interventional clinical research studies are allowed.*

Principal Investigators (PIs) seeking funding for a clinical trial should apply to the FY23 PRMRP Clinical Trial Award mechanism (Funding Opportunity Number HT9425-23-PRMRP-CTA) or the FY23 PRMRP Lifestyle and Behavioral Health Interventions Research Award mechanism (Funding Opportunity Number HT9425-23-PRMRP-LBIRA).

A clinical trial is defined as a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include a placebo or another control) to evaluate the effects of the interventions on biomedical or behavioral health-related outcomes.

Studies that do not seek to measure safety, effectiveness, and/or efficacy outcome(s) of an intervention are not considered clinical trials.

Clinical research encompasses research with patient samples, data, and interaction with patients that may or may not be considered a clinical trial. For the purposes of this funding opportunity, research that meets the definition of a clinical trial is distinct from clinical research. Clinical research is observational in nature and includes: (1) Research that does not seek to evaluate the effects of interventions. Research conducted with human subjects (or on material of human origin such as data, tissues, specimens, and cognitive phenomena) for which an investigator (or colleague) directly interacts with human subjects, but does not seek to assess the effects of an intervention, qualifies as clinical research. Patient-oriented research may include but is not limited to: (a) mechanisms of human disease, (b) diagnostic or detection studies (e.g., biomarker or imaging), (c) health disparity studies, and (d) development of new technologies.

(2) Epidemiologic and behavioral studies that do not seek to study the safety, effectiveness, and/or efficacy outcomes of an intervention. (3) Outcomes research and health services research that do not fit under the definition of clinical trial. Excluded from the definition of clinical research are in vitro studies that utilize human tissues that cannot be linked to a living individual. Note: Studies that meet the requirements for exemption under § 104(d)(4) of the Common Rule

are not considered clinical research as defined by CDMRP. Exemption category 4 refers to secondary research for which consent is not required.

Partnering PI Option: As a method to facilitate progress in addressing critical problems or questions through collaborative efforts, the FY23 PRMRP IIRA includes an option for more than one PI. The results of this partnering project should significantly advance the research beyond what would be possible through individual efforts. The Partnering PI Option is structured so that two investigators, each of whom will be designated as a PI, work synergistically on a single project. Each PI should bring complementary skills and perspectives to the research project. Developing the research plan should involve a reciprocal flow of ideas and information between the partners. The application should clearly demonstrate that both PIs have equal intellectual input into the design of the project and will devote similar and appropriate levels of effort to the conduct of the project. Each PI must demonstrate that they possess the research experience and resources to function as a PI and must also exhibit an appropriate level of authority and responsibility to direct the project supported by the awards. The application should describe how the PIs' unique expertise combined as a partnership will better address the research question, how the unique expertise that each PI brings to the project is critical for the research strategy and completion of the Statement of Work (SOW), and why the work should be done together rather than through separate efforts. New and multi-institutional collaborative efforts are strongly encouraged. PIs should include plans for communication between investigators at different organizations, if applicable. Additionally, participating organizations must be willing to resolve potential intellectual and material property issues and to remove any barriers that might interfere with achieving high levels of cooperation to ensure successful completion of the proposed research project.

For the application process, one PI will be identified as the Initiating PI and will be responsible for the majority of the administrative tasks associated with application submission. The other PI will be identified as a Partnering PI. Both PIs should contribute significantly to the development of the proposed research project, including the Project Narrative, SOW, and other required components. If recommended for funding, each PI will be named to an individual award within the recipient organization. A separate application submission is required for each partner, even if both PIs are at the same organization. Additional collaborators may be included in the application without being designated as PIs. For individual submission requirements for the Initiating and Partnering PI, refer to Section II.D.2, Content and Form of the Application Submission.

Applications submitted under the Partnering PI Option are allowed to request a higher direct cost budget than applications submitted with a single PI (see Section II.D.5, Funding Restrictions, for details). Costs should be divided as evenly as possible between both partners unless appropriately justified, but no more than 75% (or no less than 25%) of the proposed direct costs may be requested by a single partner. Submission of Attachment 8, Partnership Statement, is required for applications submitted under the Partnering PI Option.

The types of awards made under the program announcement will be assistance agreements. An assistance agreement is appropriate when the federal government transfers a "thing of value" to a "state, local government," or "other recipient" to carry out a public purpose of support or stimulation authorized by a law of the United States instead of acquiring property or service for

the direct benefit and use of the U.S. government. An assistance agreement can take the form of a grant or cooperative agreement. The level of involvement on the part of the Department of Defense (DOD) during project performance is the key factor in determining whether to award a grant or cooperative agreement. If "no substantial involvement" on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305), and the award will identify the specific substantial involvement. Substantial involvement may include, but is not limited to, collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

The anticipated direct costs budgeted for the entire period of performance for an FY23 PRMRP Investigator-Initiated Research Award will not exceed \$1.6M. The anticipated direct costs budgeted for the entire period of performance for an FY23 PRMRP Investigator-Initiated Research Award with the Partnering PI Option will not exceed \$2.0M. Refer to Section II.D.5, Funding Restrictions, for detailed funding information.

Awards will be made no later than September 30, 2024. For additional information refer to Section II.F.1, Federal Award Notices.

The CDMRP expects to allot approximately \$50.4M to fund approximately 20 Investigator-Initiated Research Award applications. Funding of applications received is contingent upon the availability of federal funds for this program as well as the number of applications received, the quality and merit of the applications as evaluated by scientific and programmatic review, and the requirements of the government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY23 funding opportunity will be funded with FY23 funds, which will expire for use on September 30, 2029.

Relevance to Military Health: Relevance to the health care needs of military Service Members, Veterans, military beneficiaries, and/or the American public is a key feature of this award. Investigators are encouraged to consider the following characteristics as examples of how a project may demonstrate relevance to military health:

- Explanation of how the project addresses an aspect of the target disease/condition/technology that has direct relevance or is unique to the health of military Service Members, Veterans, or beneficiaries
- Explanation of how the project addresses an aspect of the target disease/condition/technology that has relevance or is unique to the military or family readiness of Service Members
- Description of how the knowledge, information, products, or technologies gained from the proposed research could be implemented in a dual-use capacity to benefit the civilian population and also address a military need
- Use of military or Veteran populations or datasets, if appropriate to the proposed research

Applicants are encouraged to integrate and/or align their research projects with DOD and/or the Department of Veterans Affairs (VA) research laboratories and programs. Collaboration with DOD or VA investigators is also encouraged. A list of websites that may be useful in identifying additional information about ongoing DOD and VA areas of research interest or potential opportunities for collaboration within the FY23 PRMRP Topic Areas can be found in Appendix 2.

Use of DOD or VA Resources: If the proposed research involves access to active-duty military or Veteran patient populations and/or DOD or VA resources or databases, the application must describe the access at the time of submission and include a plan for maintaining access as needed throughout the proposed research. Refer to Section II.D.2.b.ii, Full Application Submission Components, for detailed information. Refer to the General Application Instructions, Appendix 1, for additional information.

Research Involving Human Data, Human Anatomical Substances, Human Subjects, or Human Cadavers: All DOD-funded research involving new and ongoing research with human data, human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the USAMRDC Office of Human and Animal Research Oversight (OHARO), Office of Human Research Oversight (OHRO), prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of application submission is *not* required; however, local IRB/EC approval is necessary prior to OHRO review. Allow up to 3 months to complete the OHRO regulatory review and approval process following submission of *all required and complete* documents to the OHRO. Refer to the General Application Instructions, Appendix 1, and the OHARO web page https://mrdc.health.mil/index.cfm/collaborate/research_protections/hrpo for additional information.

As of January 20, 2020, U.S. institutions engaged in non-exempt cooperative research *must* rely on a single IRB to review and approve the portion of the research conducted at domestic sites in accordance with Code of Federal Regulations, Title 45, Part 46.114(b) (45 CFR 46.114[b]). If the proposed, non-exempt research involves more than one U.S.-based institution, a written plan for single IRB review arrangements must be provided at the time of application submission or award negotiation. The lead institution responsible for developing the master protocol and master consent form should be identified and should be the single point of contact for regulatory submissions and requirements.

Research Involving Animals: All research funded by the FY23 PRMRP IIRA involving new and ongoing research with animals must be reviewed and approved by the USAMRDC OHARO Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is *not* required. *Allow at least 3 to 4 months for ACURO regulatory review and approval processes for animal studies.* Refer to the General Application Instructions, Appendix 1, for additional information.

Guidelines for Animal Research: All projects should adhere to a core set of standards for rigorous study design and reporting to maximize the reproducibility and translational potential of

preclinical research. The standards are described in SC Landis et al., 2012, A call for transparent reporting to optimize the predictive value of preclinical research, *Nature* 490:187-191 (www.nature.com/nature/journal/v490/n7419/full/nature11556.html). While these standards are written for preclinical studies, the basic principles of randomization, blinding, sample-size estimation, and data handling derive from well-established best practices in clinical studies. Applicants should consult the Animal Research: Reporting *In Vivo* Experiments (ARRIVE) guidelines 2.0 to ensure relevant aspects of rigorous animal research are adequately planned for and, ultimately, reported. The ARRIVE guidelines 2.0 can be found at The ARRIVE guidelines 2.0 | ARRIVE Guidelines.

II.C. Eligibility Information

II.C.1. Eligible Applicants

II.C.1.a. Organization: All organizations, including foreign organizations, foreign public entities, and international organizations, are eligible to apply.

Government Agencies Within the United States: Local, state, and federal government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this program announcement may be submitted by extramural and intramural organizations, these terms are defined below.

Extramural Organization: An eligible non-DOD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, federal government organizations other than the DOD, and research institutes.

Intramural DOD Organization: A DOD laboratory, DOD military treatment facility, and/or DOD activity embedded within a civilian medical center. Intramural Submission: An application submitted by a DOD organization for an intramural investigator working within a DOD laboratory or military treatment facility or in a DOD activity embedded within a civilian medical center.

The USAMRAA makes awards to eligible organizations, not to individuals.

II.C.1.b. Principal Investigator

Each investigator may be named on only one FY23 PRMRP IIRA application as a PI.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by or affiliated with an eligible organization.

The CDMRP strongly encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at https://orcid.org/.

II.C.2. Cost Sharing

Cost sharing/matching is not an eligibility requirement.

II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this program announcement.

II.D. Application and Submission Information

Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

II.D.1. eBRAP and Grants.gov

The electronic Biomedical Research Application Portal (eBRAP) (https://ebrap.org) is a secure web-based system that allows PIs to submit their pre-applications, view and verify extramural full applications submitted to Grants.gov (https://grants.gov), receive communications from the CDMRP, and submit documentation during award negotiations and throughout the period of performance. eBRAP also allows intramural organizations to submit full applications following pre-application submission.

Grants.gov is a federal system required to be utilized by agencies to receive and process extramural grant applications. Full applications may only be submitted to Grants.gov after submission of a pre-application through eBRAP.

Contact information for the eBRAP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

Extramural Submission:

- Pre-application content and forms must be accessed and submitted at eBRAP.org.
- Full application packages must be accessed and submitted at Grants.gov.

Intramural DOD Submission:

- Pre-application content and forms must be accessed and submitted at eBRAP.org.
- Full application packages must be accessed and submitted at eBRAP.org.

Note: Applications from an intramural DOD organization or from an extramural federal government organization may be submitted to Grants.gov through a research foundation.

II.D.2. Content and Form of the Application Submission

Submission is a two-step process requiring both *pre-application* (eBRAP.org) and *full application* (eBRAP.org or Grants.gov) as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods. Full application submission guidelines differ for extramural (Grants.gov) and intramural (eBRAP.org) organizations (refer to <u>Table 1</u>, <u>Full Application Guidelines</u>).

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

Partnering PI Option: The Initiating PI must complete the pre-application submission process and submit the contact information for the Partnering PI. The Partnering PI will then be notified of the pre-application submission separately by email. The Partnering PI must follow the link in the notification email in order to associate their full application package with that of the Initiating PI. After following the link, the Partnering PI must verify their contact information, organization, and designation as an extramural or intramural submission within eBRAP. If not previously registered, the Partnering PI must register in eBRAP. A new pre-application based on this research project should not be initiated by the Partnering PI. Applicants are urged to complete these steps as soon as possible. If they are not completed, the Partnering PI will not be able to view and modify their application during the verification period in eBRAP. If these steps are not completed, an intramural partner will not be able to submit the Partnering PI's required full application package components to eBRAP.

II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, eBRAP assigns each submission a unique log number. This unique eBRAP log number is required during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. **Incorrect selection of extramural or intramural submission type will delay processing.**

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507 to request a change in designation.

All pre-application components must be submitted by the Initiating PI through eBRAP (https://eBRAP.org/).

The applicant organization and associated PI(s) identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the applicant must contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507.

PIs with an ORCID identifier should enter that information in the appropriate field in the "My Profile" tab in the "Account Information" section of eBRAP.

When starting the pre-application, PIs should ensure that they have selected the appropriate application category:

- Investigator-Initiated Research Award (IIRA); or
- Investigator-Initiated Research Award Partnering PI Option (IIRA-PPIO)

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

• Tab 1 – Application Information

Submission of application information includes assignment of primary and secondary research classification codes, which may be found at https://ebrap.org/eBRAP/public/Program.htm. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

Select the FY23 PRMRP Portfolio addressed by the proposed research.

Select the FY23 PRMRP Topic Area addressed by the proposed research.

Select the FY23 PRMRP Continuum of Care category addressed by the proposed research.

Select the FY23 PRMRP Strategic Goal addressed by the proposed research.

• Tab 2 – Application Contacts

Enter contact information for the PI. Enter the organization's Business Official responsible for sponsored program administration (the "person to be contacted on matters involving this application" in Block 5 of the Grants.gov SF424 Research & Related Form). The Business Official must be either selected from the eBRAP list or invited in order for the preapplication to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 Research & Related Form), and click on "Add Organizations to this Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that applicants identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

• Tab 3 – Collaborators and Key Personnel

Enter the name, organization, and role of all collaborators and key personnel associated with the application.

<u>FY23 PRMRP Programmatic Panel members</u> should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c</u>, <u>Withdrawal</u>, or contact the eBRAP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

Partnering PI Option: The Initiating PI must enter the contact information for the Partnering PI in the Partnering PI section.

• Tab 4 – Conflicts of Interest

List all individuals other than collaborators and key personnel who may have a conflict of interest in the review of the application (including those with whom the PI has a personal or professional relationship).

• Tab 5 – Pre-Application Files

Letter of Intent (LOI) (one-page limit): Provide a brief description of the research to be conducted. Include the PRMRP Portfolio, FY23 PRMRP Topic Area, and FY23 PRMRP Strategic Goal under which the application will be submitted. LOIs are used for program planning purposes only (e.g., reviewer recruitment) and will not be reviewed during either the peer or programmatic review sessions. An invitation to submit is *not* required

• Tab 6 – Submit Pre-Application

This tab must be completed for the pre-application to be accepted and processed.

II.D.2.b. Step 2: Full Application Submission Content

Applications will not be accepted unless notification of invitation has been received by the Initiating PI.

The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.

Each application submission must include the completed full application package for this program announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (https://grants.gov/) for extramural organizations or through eBRAP (https://ebrap.org/) for intramural organizations. See Table 1 below for more specific guidelines.

II.D.2.b.i. Full Application Guidelines

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in Workspace. A compatible version of Adobe Reader **must** be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the *same version* of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the "Apply For Grants" page of Grants.gov (https://www.grants.gov/web/grants/applicants/apply-for-grants.html) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

Do not password protect any files of the application package, including the Project Narrative.

Table 1. Full Application Submission Guidelines

| Extramural Submissions | Intramural DOD Submissions | |
|--|--|--|
| Application Package Location | | |
| Download application package components for HT9425-23-PRMRP-IIRA from Grants.gov (https://grants.gov) and create a Grants.gov Workspace. Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission. | Download application package components for HT9425-23-PRMRP-IIRA from eBRAP (https://ebrap.org). | |
| Full Application Package Components | | |
| SF424 Research & Related Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information. | Tab 1 – Summary: Provide a summary of the application information. Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative. | |
| Descriptions of each required file can be found under Full Application Submission Components: | Tab 3 – Full Application Files: Upload files under each Application Component in eBRAP. | |

Extramural Submissions

- Attachments
- Research & Related Personal Data
- Research & Related Senior/Key Person Profile (Expanded)
- Research & Related Budget
- Project/Performance Site Location(s) Form
- Research & Related Subaward Budget
 Attachment(s) Form
- Application Components for the Partnering PI

Intramural DOD Submissions

Descriptions of each required file can be found under Full Application Submission Components:

- Attachments
- Key Personnel
- Budget
- Performance Sites
- Other

Tab 4 – Application and Budget Data: Review and edit proposed project start date, proposed end date, and budget data pre-populated from the Budget Form.

Application Package Submission

Create a Grants.gov Workspace.

Add participants (investigators and Business Officials) to Workspace, complete all required forms, and check for errors before submission.

Submit a Grants.gov Workspace Package.

An application may be submitted through Workspace by clicking the "Sign and Submit" button on the "Manage Workspace" page, under the "Forms" tab. Grants.gov recommends submission of the application package at least 24-48 hours prior to the close date to allow time to correct any potential technical issues that may disrupt the application submission.

Note: If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a "Changed/Corrected Application" with the previous Grants.gov Tracking ID prior to the application submission deadline. Do not password protect any files of the application package, including the Project Narrative.

Submit package components to eBRAP (https://ebrap.org).

Tab 5 – Submit/Request Approval Full Application: After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to "Enter Your Password Here" and press the "Submit Full Application" button. eBRAP will notify your Resource Manager/ Comptroller/Task Area Manager or equivalent Business Official by email. Do not password protect any files of the application package, including the Project Narrative.

Application Verification Period

The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package may be modified *with the*

After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/ Task Area Manager or equivalent Business Official and PI(s) will receive email notification of this status and will be able to view and modify application components in eBRAP. During the

| Extramural Submissions | Intramural DOD Submissions |
|--|---|
| exception of the Project Narrative and Research & Related Budget Form. | application verification period, the full application package may be modified with the exception of the Project Narrative and Research & Related Budget Form. Your Resource Manager/ Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline. |
| Further Information | |
| Tracking a Grants.gov Workspace Package. After successfully submitting a Workspace package, a Grants.gov Tracking Number is automatically assigned to the package. The number will be listed on the "Confirmation" page that is generated after submission. | Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements. |
| Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements. | |

Partnering PI Option: The CDMRP requires separate full application package submissions for the Initiating PI and each Partnering PI, even if the PIs are located within the same organization. Initiating and Partnering PIs will each be assigned a unique eBRAP log number. Each full application package must be submitted using the unique eBRAP log number. Note: All associated applications (the Initiating PI's and the Partnering PI's) must be submitted by the full application submission deadline.

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

II.D.2.b.ii. Full Application Submission Components

• Extramural Applications Only

SF424 Research & Related Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.

• Extramural and Intramural Applications

Attachments:

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 megabytes (MB), and the file size for the entire full application package may not exceed 200 MB.

Attachment 1: Project Narrative (15-page limit): Upload as "ProjectNarrative.pdf". The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs (uniform resource locators) that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below.

- Background: Present the scientific rationale behind the proposed work. Cite relevant literature. Describe previous experience most pertinent to the project. Include relevant preliminary data; these data may be unpublished or from the published literature.
- Hypothesis: State the hypothesis to be tested. State which FY23 PRMRP Topic
 Area the proposed research addresses. Additionally, describe how the proposed
 research project addresses one of the FY23 PRMRP Strategic Goals.
- Specific Aims: Concisely explain the project's specific aims and the objective(s) to be reached. These aims should agree with the primary aims and associated tasks described in the SOW. If the proposed work is part of a larger study, present only aims that this DOD award would fund.
- Research Strategy and Feasibility: Describe the experimental design, methods, data collection procedures, and analyses, including appropriate controls, in sufficient detail for analysis. Address potential problem areas and present alternative methods and approaches.
 - Clearly describe the statistical plan and the rationale for the statistical methodology as well as an appropriate power analysis. If cell lines or animals are to be used, justify why the proposed cell line(s) or animal model(s) were chosen. If animal studies are proposed, describe how they will be conducted in accordance with the ARRIVE guidelines 2.0 (The ARRIVE guidelines 2.0 | ARRIVE Guidelines).
 - If human subjects, human biological samples, or datasets will be used, describe the study population and include a detailed plan for the recruitment of human subjects or the acquisition of samples/datasets (refer to <u>Attachment 9, Public</u> <u>Health Service (PHS) Inclusion Enrollment Report</u>, for additional details). Describe the availability of the proposed study population and past successes in

recruiting similar populations. If active-duty military, military families, and/or Veteran population(s) or datasets will be used in the proposed research project, describe the feasibility of accessing the population(s)/dataset(s). *This award may not be used to conduct clinical trials.*

- If applicable, describe how data will be reported and how it will be assured that the
 documentation will support a regulatory filing with the U.S. Food and Drug
 Administration (FDA).
- Describe how the research project will be completed within the proposed period of performance.
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf". Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- **References Cited:** List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present government award under which the facilities or equipment items are now accountable. There is no form for this information.
- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of

- support not requested in the program announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization demonstrating that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural organization that authorizes the collaborator's involvement.
- **Intellectual Property:** Information can be found in the 2 CFR 200.315, "Intangible Property."
 - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
 - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.
- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
- Data Management Plan (2-page limit): If there is a separate Data Management Plan attachment, then submission of the Data Management Plan under "Supporting Documentation" is not required. Describe the data management plan in accordance with Section 3.c. Enclosure 3, DoD Instructions 3200.12.
 - For Extramural Applications: Refer to General Application Instructions, Section III.A.2, Attachments Form, Attachment 2, Supporting Documentation, for more detailed information.
 - For Intramural Applications: Refer to General Application Instructions, Section IV.A.1, Application Component Attachments, Attachment 2, Supporting Documentation, for more detailed information.
- Use of DOD Resources (if applicable): Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active-duty military populations and/or DOD resources or databases.

- Use of VA Resources (if applicable): Provide a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief, confirming access to VA patients, resources, and/or VA research space. For VA PIs, if the VA non-profit corporation is not identified as the applicant institution for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf". The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Programmatic reviewers typically do not have access to the full application and therefore rely on the technical abstract for appropriate description of the project's key aspects. Therefore, clarity and completeness within the space limits of the technical abstract are highly important. Describe the proposed research project, including the following elements:

State the PRMRP Portfolio, FY23 PRMRP Topic Area, and FY23 PRMRP Strategic Goal addressed by the proposed research project. Clearly describe the proposed research, including the rationale, the overall goal, the hypothesis to be tested, innovative aspects of the research, the study design, the expected results, long-term and short-term impacts to the relevant research field and patient care, and how the results will be used as a foundation for future research projects.

Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf". The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information. Do not duplicate the technical abstract.* Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Describe how the proposed research project addresses one of the FY23 PRMRP Topic Areas and one of the FY23 PRMRP Strategic Goals. Include a comprehensive overview of the proposed research project that can be *readily understood by readers without a background in science or medicine*. Clearly describe the critical problem or question to be addressed and the ultimate applicability and impact of the research. *Do not duplicate the technical abstract*.

• Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". The suggested SOW format and examples specific to different types of research projects are available on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm). Recommended strategies for assembling the SOW can be found at https://ebrap.org/eBRAP/public/Program.htm.

For the FY23 PRMRP IIRA mechanism, refer to the "Suggested SOW Strategy Generic Research" document for guidance on preparing the SOW and use the blank SOW format titled "Suggested SOW Format". The SOW must be in PDF format prior to attaching.

Partnering PI Option: Each PI must submit an identical copy of a jointly created SOW. The contributions of the Initiating PI and the Partnering PI should be noted for each task.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

- Include the name(s) of the key personnel and contact information for each study site/ subaward site.
- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site.
 Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.

If applicable, indicate timelines required for regulatory approvals relevant to animal or human subjects research such as IACUC or IRB, USAMRDC ACURO or OHRO.

• Attachment 6: Impact Statement (one-page limit): Upload as "Impact.pdf".

Explain why the proposed research project will address a critical problem or question in one of the FY23 PRMRP Topic Areas. Additionally, describe how the project addresses one of the FY23 PRMRP Strategic Goals.

- Describe how the proposed research project, if successful, will make important scientific advances in the relevant field of research.
- Describe the short-term impact: Detail the anticipated outcome(s)/product(s)
 (knowledge and/or materiel) that will be directly attributed to the results of the
 proposed research.
- Describe the long-term impact: Explain the anticipated long-term gains from this research. Compare to information known/products currently available, if applicable. Describe the anticipated long-range impact of the anticipated research findings on the field of study and/or patient care.
- Attachment 7: Relevance to Military Health Statement (one-page limit): Upload as "MilRel.pdf".

Describe how the proposed study is responsive to the health care needs of military Service Members, Veterans, and/or beneficiaries. Provide information about the

incidence and/or prevalence of the disease or condition in the general population as well as in military Service Members, Veterans, and/or beneficiaries.

If active-duty military, military families, and/or Veteran population(s) or datasets will be used in the proposed research project, describe the population(s)/dataset(s) and the appropriateness of the population(s)/dataset(s) for the proposed study. If a non-military population will be used for the proposed research project, explain how the population simulates the targeted population (i.e., military Service Members, Veterans, and/or beneficiaries).

If applicable, show how the proposed research project aligns with DOD and/or VA areas of research interest. Provide a description of how the knowledge, information, products, or technologies gained from the research could be implemented in a dual-use capacity to benefit the civilian population and address a military need, as appropriate.

Attachment 8: Partnership Statement (one-page limit): Upload as "Partnership.pdf". This attachment is only required for applications submitted under the Partnering PI Option.

Describe the expertise of the Initiating and Partnering PIs and how each will bring different strengths to the proposed project. Describe how the PIs' unique expertise combined as a partnership will better address the research question, how the unique expertise that each PI brings to the project is critical for the research strategy and completion of the SOW, and why the work should be done together rather than through separate efforts. Outline the contribution and time commitment of each partner and how each will have equal intellectual input on the design, conduct, and analysis of the project. Describe how the PIs will manage the collaboration and workflow to optimize research efforts.

Attachment 9: Public Health Service (PHS) Inclusion Enrollment Report, if applicable (non-interventional clinical research studies only): Upload as "PHS.pdf". Provide an anticipated enrollment table(s) with the proposed enrollment distributed on the basis of sex/gender, race, and/or ethnicity. Studies utilizing human biospecimens or datasets that cannot be linked to a specific individual, gender, ethnicity, or race are exempt from this requirement.

Consistent with the Belmont Report, "Ethical Principles and Guidelines for the Protection of Human Subjects," and congressional legislation, special attention is given to inclusion of women and/or minorities in studies funded or supported by the USAMRDC. This policy is intended to promote equity both in assuming the burdens and in receiving the benefits of human subjects research. Describe the strategy for the inclusion of women and minorities appropriate to the objectives of the study, including a description of the composition of the proposed study population in terms of sex/gender, race, and ethnicity, and an accompanying rationale for the selection of subjects. Provide an anticipated enrollment table(s) with the proposed enrollment distributed on the basis of sex/gender, race, and ethnicity. The PHS Inclusion Enrollment Report is a three-page fillable PDF

form, which can be downloaded from eBRAP at https://ebrap.org/eBRAP/public/Program.htm.

- Attachment 10: Representations, if applicable (extramural submissions only): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (https://ebrap.org/eBRAP/public/Program.htm). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 11: Suggested Collaborating DOD Military Facility Budget Format, if applicable: Upload as "MFBudget.pdf". If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DOD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete a separate budget, using "Suggested Collaborating DOD Military Facility Budget Format", available for download on the eBRAP "Funding Opportunities & Forms" web page https://ebrap.org/eBRAP/public/Program.htm), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section III.A.8, for detailed information.

• Extramural and Intramural Applications

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC 1681[a] et seq.), the DOD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, and/or mathematics (STEM) disciplines. To enable this assessment, each application must include the following forms completed as indicated.

Research & Related Personal Data: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

Research & Related Senior/Key Person Profile (Expanded): For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

o PI Biographical Sketch (five-page limit): Upload as "Biosketch_LastName.pdf". The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP. The National Institutes of Health (NIH) Biographical Sketch may also be used. All biographical sketches should be submitted in uneditable PDF format.

- PI Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf".
 - For extramural submissions, refer to the General Application Instructions, Section III.A.4, for detailed information.
 - For intramural submissions, refer to the General Application Instructions, Section IV.A.3, for detailed information.
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch_LastName.pdf".
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf".
 - For extramural submissions, refer to the General Application Instructions, Section III.A.4, for detailed information.
 - For intramural submissions, refer to the General Application Instructions, Section IV.A.3, for detailed information.

Research & Related Budget: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

Budget Justification (no page limit): Upload as "BudgetJustification.pdf". The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

Partnering PI Option: Initiating and Partnering PIs must have a separate budget and justification specific to their distinct portions of the effort that the applicant organization will submit as separate Grants.gov or eBRAP application packages. The Initiating PI should not include budget information for Partnering PI even if they are located within the same organization. Refer to Section II.D.5, Funding Restrictions, for detailed information.

Project/Performance Site Location(s) Form: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.6, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.5, for detailed information.

Extramural Applications Only

Research & Related Subaward Budget Attachment(s) Form (if applicable): Refer to the General Application Instructions, Section III.A.7, for detailed information.

- Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.
- o Intramural DOD Collaborator(s): Complete the "Suggested Collaborating DOD Military Facility Budget Format" and upload to Grants.gov attachment form as <u>Attachment 11</u>. (Refer to the General Application Instructions, Section IV.A.4, for detailed information.) Each Intramural DOD Collaborator should include costs per year on the Grants.gov Research & Related Budget Form under subaward costs.

Suggested DOD Military Budget Format: A military facility collaborating in the performance of the project (but not participating as a Partnering PI) should be treated as a subaward for budget purposes. *Note:* Applicants should complete a separate military budget using "Suggested Collaborating DOD Military Facility Budget Format" (available for download on the eBRAP "Funding Opportunities & Forms" web page [https://ebrap.org/eBRAP/public/Program.htm]) (Attachment 11) to show all direct and indirect costs. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section III.A.8, for detailed information.

Application Components for the Partnering PI, if applying under the Partnering PI Option

The Partnering PI must follow the link in the email from eBRAP and, if not registered in eBRAP, must complete the registration process prior to the application submission deadline in order to associate their full application package with that of the Initiating PI.

For the Partnering PI, the Initiating PI must identify if the Partnering PI will be named on an extramural or intramural application (in accordance with the guidelines in <u>Section II.C.1.a.</u>, <u>Organization</u>) and the appropriate mode of submission (Grants.gov for extramural and eBRAP for intramural). The PI must verify their contact information and mode of submission within eBRAP to ensure proper submission of their application.

The application submission process for the Partnering PI uses an abbreviated full application package that includes:

Extramural and Intramural Applications

Attachments:

• Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". Refer to the General Application Instructions, Section III.A.2, for detailed information on completing the SOW. Each PI must submit an identical copy of a jointly created SOW. The contributions of the Initiating PI and the Partnering PI should be noted for each task.

- Attachment 10: Representations (extramural submissions only): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (https://ebrap.org/eBRAP/ public/Program.htm). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 11: Suggested Collaborating DOD Military Facility Budget Format: Upload as "MFBudget.pdf". Refer to the General Application Instructions, Section IV.A.4, for detailed information. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs.

Research & Related Personal Data: For extramural submissions (via Grants.gov) refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

Research & Related Senior/Key Person Profile (Expanded): For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

- o PI Biographical Sketch (five-page limit): Upload as "Biosketch_LastName.pdf". The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP. The NIH Biographical Sketch may also be used. All biographical sketches should be submitted in the PDF format that is not editable.
- PI Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf".
 - For extramural submissions, refer to the General Application Instructions, Section III.A.4, for detailed information.
 - For intramural submissions, refer to the General Application Instructions, Section IV.A.3, for detailed information.
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch LastName.pdf".
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support_LastName.pdf".
 - For extramural submissions, refer to the General Application Instructions, Section III.A.4, for detailed information.
 - For intramural submissions, refer to the General Application Instructions, Section IV.A.3, for detailed information.

Research & Related Budget: For extramural submissions, refer to the General Application Instructions, Section III.A.5, and for intramural submissions, refer to the General Application Instructions, Section IV.A.4, for detailed information.

Budget Justification (no page limit): Upload as "BudgetJustification.pdf".

Initiating and Partnering PIs must each submit a budget and justification specific to their own portion of the efforts as part of their separate Grants.gov or eBRAP application packages. The Research & Related Budget for the Partnering PI should not include budget information for the Initiating PI, even if they are located within the same organization. Refer to Section II.D.5, Funding Restrictions, for detailed information.

Project/Performance Site Location(s) Form: For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.6, and for intramural submissions (via eBRAP), refer to General Application Instructions, Section IV.A.5, for detailed information.

• Extramural Applications Only

Research & Related Subaward Budget Attachment(s) Form:

- Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.7, for detailed information.)
- o **Intramural DOD Collaborator(s):** Complete a separate DOD military budget, using Suggested Collaborating DOD Military Facility Budget Format (available for download on the eBRAP "Funding Opportunities & Forms" web page [https://ebrap.org/eBRAP/public/Program.htm]), and upload to Grants.gov attachment form as https://ebrap.org/eBRAP/public/Program.htm]), and upload to Grants.gov attachment form as Attachment 11. (Refer to the General Application Instructions, Section III.A.8, for detailed information.)

II.D.3. Unique Entity Identifier (UEI) and System for Award Management (SAM)

The applicant organization must be registered as an entity in SAM (https://www.sam.gov/SAM/) and receive confirmation of an "Active" status before submitting an application through Grants.gov. As of April 2022, all federal awards including, but not limited to, contracts, grants, and cooperative agreements will use the UEI generated through SAM.gov. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

II.D.4. Submission Dates and Times

All submission dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

Applicant Verification of Full Application Submission in eBRAP

For Both Extramural and Intramural Applicants: eBRAP allows an organization's representatives and PIs to view and modify the full application submissions associated with them. Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate full application files against the specific program announcement requirements, and discrepancies will be noted in an email to the PI and in the "Full Application Files" tab in eBRAP. eBRAP does not confirm the accuracy of file content. Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the program announcement. If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline. The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline. Other application components may be changed until the end of the application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

Extramural Submission: The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified.

Intramural DOD Submission: After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI(s) will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve the application package prior to the application verification deadline.

For All Submissions: Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

II.D.5. Funding Restrictions

The maximum period of performance is 4 years.

Application submission with a single PI: The application's direct costs budgeted for the entire period of performance should not exceed \$1.6M. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 4 years.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

Application submission with the Partnering PI Option: The applications' combined direct costs budgeted for the entire period of performance in the applications of the Initiating PI and the Partnering PI should not exceed \$2.0M. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. Collaborating organizations should budget associated indirect costs in accordance with each organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

A separate award will be made to each PI's organization.

The Initiating and Partnering PIs are expected to be partners in the research, and direct cost funding should be divided accordingly unless otherwise warranted and clearly justified.

The applicant(s) may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 4 years.

For this award mechanism, direct costs may be requested for (not all-inclusive):

- Travel in support of multidisciplinary collaborations.
- Travel costs for the PI(s) to disseminate project results at one DOD-supported meeting to be specified by the program office during award negotiations (e.g., 'the Military Health System Research Symposium').
- Costs for up to two investigators to travel to one scientific/technical meeting per year in addition to the optional meeting described above. The intent of travel costs to scientific/technical meetings is to disseminate project results from the FY23 PRMRP IIRA.

Must not be requested for:

Tuition

For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DOD or other federal agency is not allowed except under very limited circumstances. Funding to intramural DOD and other federal agencies will be managed through a direct funds transfer. Intramural applicants are responsible for coordinating through their agency's procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.

Refer to the General Application Instructions, Section III.A.5, for budget regulations and instructions for the Research & Related Budget. For federal agencies or organizations collaborating with federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.5.

II.D.6. Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

II.E. Application Review Information

II.E.1. Criteria

II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following **scored criteria**, which are listed in decreasing order of importance:

• Impact

- To what extent the project impacts a critical problem or question in one of the FY23 PRMRP Topic Areas.
- To what extent the proposed research project addresses one of the FY23 PRMRP Strategic Goals.
- How the proposed research project, if successful, will make important scientific advances in the relevant field of research or advance patient outcomes.
- To what extent the proposed research has potential for impact, both short-term and longterm, on the field of study and/or patient care.

• Research Strategy and Feasibility

- How well the scientific rationale supports the project and its feasibility, as demonstrated by a critical review and analysis of the literature, supporting data, and logical reasoning.
- How well the hypothesis, specific aims, and objective(s) are developed.
- How well the experimental design, methods, data collection procedures, and analyses are developed and support completion of the aims.
- To what degree the statistical plan and power analysis are appropriate for the proposed project.
- If animal studies are included, how well they are designed to achieve reproducible and rigorous results, including the choice of model and the endpoints/outcomes to be measured.

- o If human subjects, human biological samples, or datasets will be used, how well the application provides evidence of availability of, and access to, the necessary study populations and/or resources.
- o If applicable, whether the strategy for the inclusion of women and minorities and distribution of proposed enrollment are appropriate for the proposed research.
- If applicable, whether data will be appropriately reported and documented to support a regulatory filing with the FDA.
- How well potential problems are identified and alternative methods or approaches are addressed.

Personnel

- How appropriate the levels of effort are for successful conduct of the proposed work.
- How well the PI's record of accomplishment demonstrates their ability to perform the proposed work.
- How appropriate the PI and research team's background and expertise are with regard to their ability to accomplish the proposed work.
- **Partnering PI Option:** How the partners' combined expertise will better address the research question.

For applications submitted under the Partnering PI Option:

Partnership

- How well the research project is supported by the nature of the collaboration.
- o To what extent the PIs' unique expertise, combined as a partnership, will better address the research question rather than through separate efforts.
- How well the skills and perspectives of the Initiating and Partnering PIs complement each other, bring different strengths to the project, and are critical for the research strategy and completion of the SOW.
- How well the application reflects the requirement that the partners have equal intellectual input into the design of the project.

In addition, the following **unscored criteria** will also contribute to the overall evaluation of the application:

Budget

Whether the **direct** costs exceed the allowable direct costs as published in the program announcement.

• Whether the budget is appropriate for the proposed research.

Environment

- To what extent the scientific environment is appropriate for the proposed research.
- How well the research requirements are supported by the availability of and accessibility to facilities and resources (including collaborative arrangements).
- Whether the quality and extent of organizational support are appropriate for the proposed research.
- o If applicable, to what degree the intellectual and material property plan is appropriate

• Application Presentation

o To what extent the writing, clarity, and presentation of the application components influence the review.

II.E.1.b. Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the mission of the Defense Health Program and FY23 PRMRP, as evidenced by the following:
 - Adherence to the intent of the award mechanism
 - Relative impact
 - Relevance to the FY23 PRMRP Topic Areas
 - Relevance to the FY23 PRMRP Strategic Goals
 - Relevance to military health
 - Program portfolio composition

II.E.2. Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for

General, USAMRDC. The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review. Additional information about the two-tier process used by the CDMRP can be found at https://cdmrp.health.mil/about/2tierRevProcess. An information paper describing the funding recommendations and review process for the award mechanisms for the PRMRP will be provided to the PI(s) and posted on the CDMRP website.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

II.E.3. Integrity and Performance Information

Prior to making an assistance agreement award where the federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.1, over the period of performance, the federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a federal awarding agency previously entered and is currently available in FAPIIS.

The federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

II.E.4. Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

II.F. Federal Award Administration Information

II.F.1. Federal Award Notices

Awards supported with FY23 funds are anticipated to be made no later than September 30, 2024. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the USAMRAA will contact the Business Official authorized to negotiate on behalf of the PI's organization.

Pre-Award Costs: An institution of higher education, hospital, or non-profit organization may, at its own risk and without the government's prior approval, incur obligations and expenditures to cover costs up to 90 days before the beginning date of the initial budget period of a new award. Refer to the General Application Instructions, Section III.A.5.

Only an appointed USAMRAA Grants Officer may obligate the government to the expenditure of funds. No commitment on the part of the government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document.

Federal Government Organizations: Funding made to federal government organizations (to include intramural DOD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

II.F.1.a. PI Changes and Award Transfers

An organizational transfer of an award supporting the Initiating PI or Partnering PI is discouraged and will be evaluated on a case-by-case basis and only allowed at the discretion of the Grants Officer.

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

II.F.2. Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this program announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the latest <u>DoD R&D General Terms and Conditions</u> and the <u>USAMRAA General Research Terms and Conditions</u>: <u>Addendum to the DoD R&D General Terms and Conditions</u> for further information.

Certification Regarding Disclosure of Funding Sources. The proposing entity must comply with Section 223(a) of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021, which requires that the PI, Partnering PIs (if applicable), and all key personnel:

- Certify that the current and pending support provided on the application is current, accurate, and complete;
- Agree to update such disclosure at the request of the agency prior to the award of support and at any subsequent time the agency determines appropriate during the term of the award; and
- Have been made aware of the requirements under Section 223(a)(1) of this Act.

False, fictitious, or fraudulent statements or claims may result in criminal, civil, or administrative penalties (18 USC 1001).

II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

Annual progress reports as well as a final progress report will be required.

The Award Terms and Conditions will specify if additional and/or more frequent reporting is required.

Award Expiration Transition Plan: An Award Expiration Transition Plan must be submitted with the final progress report. Use the one-page template "Award Expiration Transition Plan," available on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) under the "Progress Report Formats" section. The Award Expiration Transition Plan must outline whether and how the research supported by this award will progress and must include source(s) of funding, either known or pending.

PHS Inclusion Enrollment Reporting Requirement (only required for clinical research studies and clinical trials): Enrollment reporting on the basis of sex/gender, race, and/or ethnicity will be required with each annual and final progress report. The PHS Inclusion Enrollment Report is

available on the "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP.

Awards resulting from this program announcement may entail additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10M are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a federal award. These recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 5, Section B).

II.G. Federal Awarding Agency Contacts

II.G.1. eBRAP Help Desk

Questions related to program announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the eBRAP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET (closed on most U.S. federal holidays). Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: help@eBRAP.org

II.G.2. Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. federal holidays). Note that the eBRAP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: support@grants.gov

Sign up on Grants.gov for "send me change notification emails" by following the link on the "Synopsis" page for the program announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

II.H. Other Information

II.H.1. Program Announcement and General Application Instructions Versions

Questions related to this program announcement should refer to the program name, the program announcement name, and the program announcement version code 800a. The program announcement numeric version code will match the General Application Instructions version code 800.

II.H.2. Administrative Actions

After receipt of applications, the following administrative actions may occur:

II.H.2.a. Rejection

The following will result in administrative rejection of the application:

- Pre-application was not submitted.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.

II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Project Narrative.
- Documents not requested will be removed.

II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the application:

- An FY23 PRMRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY23 PRMRP Programmatic Panel members can be found at https://cdmrp.health.mil/prmrp/panels/panels23.
- The application fails to conform to this program announcement description.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).

- To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY23, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (https://cdmrp.health.mil/about/2tierRevProcess). Applications that include names of personnel from either of these companies may be administratively withdrawn.
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DOD federal agencies, received through eBRAP may be withdrawn.
- Applications submitted by an intramural DOD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The application fails to address one of the congressionally directed FY23 PRMRP Topic Areas
- The application fails to address one of the FY23 PRMRP Strategic Goals.
- The investigator is named as PI on more than one application submitted to the FY23 PRMRP IIRA mechanism.
- A clinical trial is proposed.
- The PI does not meet the eligibility criteria.
- **Partnering PI Option:** Failure to submit all associated (Initiating and Partnering PI) applications by the deadline.

II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

II.H.3. Application Submission Checklist

| Application Components | Action | Initiating PI Completed | Partnering PI Completed |
|---|---|----------------------------|-------------------------------|
| SF424 Research & Related Application for Federal Assistance (extramural submissions only) | Complete form as instructed | | - |
| Summary (Tab 1) and Application Contacts (Tab 2) (intramural submissions only) | Complete tabs as instructed | | |
| Attachments | Project Narrative: Upload as Attachment 1 with file name "ProjectNarrative.pdf" Supporting Documentation: Upload as Attachment 2 with file name | | |
| | "Support.pdf" Technical Abstract: Upload as Attachment 3 with file name "TechAbs.pdf" | | |
| | Lay Abstract: Upload as Attachment 4 with file name "LayAbs.pdf" Statement of Work: Upload as Attachment 5 with file name "SOW.pdf" | | |
| | Impact Statement: Upload as Attachment 6 with file name "Impact.pdf" Relevance to Military Health Statement: | | |
| | Upload as Attachment 7 with file name "MilRel.pdf" Partnership Statement: Upload as | | |
| | Attachment 8 with file name "Partnership.pdf" if applicable Public Health Service (PHS) Inclusion | | |
| | Enrollment Report: Upload as Attachment 9 with file name "PHS.pdf" if applicable Representations (extramural submissions only): Upload as Attachment 10 with file | | |
| | name "RequiredReps.pdf" Suggested Collaborating DOD Military Facility Budget Format: Upload as | | |
| Research & Related | Attachment 11 with file name "MFBudget.pdf" if applicable Complete form as instructed | | |
| Personal Data | 1 | | |

| Application Components | Action | Initiating PI Completed | Partnering PI Completed |
|---|--|----------------------------|-------------------------------|
| Research & Related Senior/Key Person Profile (Expanded) | Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field Attach Previous/Current/Pending (Support_LastName.pdf) for each | | |
| | senior/key person to the appropriate field | | |
| Research & Related | Complete as instructed. Attach Budget | | |
| Budget (extramural | Justification (BudgetJustification.pdf) to | | |
| submissions only) | the appropriate field | | |
| Budget (intramural | Complete the Suggested DOD Military | | |
| submissions only) | Budget Format, including justification | | |
| Project/Performance Site Location(s) Form | Complete form as instructed | | |
| Research & Related Subaward Budget Attachment(s) Form | Complete form as instructed | | |

APPENDIX 1: ACRONYM LIST

ACOS/R&D Associate Chief of Staff for Research and Development

ACURO Animal Care and Use Review Office

ARRIVE Animal Research Reporting *In Vivo* Experiments

CDMRP Congressionally Directed Medical Research Programs

CFR Code of Federal Regulations

DOD Department of Defense

DoDGARs Department of Defense Grant and Agreement Regulations

eBRAP Electronic Biomedical Research Application Portal

EC Ethics Committee

ET Eastern Time

FAD Funding Authorization Document

FAPIIS Federal Awardee Performance and Integrity Information System

FY Fiscal Year

IACUC Institutional Animal Care and Use Committee

IIRA Investigator-Initiated Research Award

IRB Institutional Review Board

LOI Letter of Intent

M Million
MB Megabytes

ME/CFS Myalgic Encephalomyelitis/Chronic Fatigue Syndrome

MIPR Military Interdepartmental Purchase Request

NIH National Institutes of Health

OHARO Office of Human and Animal Research Oversight

OHRO Office of Human Research Oversight

ORCID Open Researcher and Contributor ID, Inc.

PDF Portable Document Format

PHS Public Health Service
PI Principal Investigator

PRMRP Peer Reviewed Medical Research Program

SAM System for Award Management

SOW Statement of Work

STEM Science, Technology, Engineering, and/or Mathematics

UEI Unique Entity Identifier
URL Uniform Resource Locator

USAMRAA U.S. Army Medical Research Acquisition Activity

USAMRDC U.S. Army Medical Research and Development Command

USC United States Code

VA Department of Veterans Affairs

APPENDIX 2: DOD AND VA WEBSITES

PIs are encouraged to integrate and/or align their research projects with DOD and/or VA research laboratories and programs. Collaboration with DOD or VA investigators is also encouraged. Below is a list of websites that may be useful in identifying additional information about DOD and VA areas of research interest, ongoing research, or potential opportunities for collaboration within the FY23 PRMRP Topic Areas.

Air Force Office of Scientific Research https://www.afrl.af.mil/AFOSR/

Air Force Research Laboratory https://www.afrl.af.mil/

Armed Forces Radiobiology Research Institute https://afrri.usuhs.edu/home

Combat Casualty Care Research Program https://cccrp.health.mil/Pages/default.aspx

Congressionally Directed Medical Research Programs https://cdmrp.health.mil/

Defense Advanced Research Projects Agency https://www.darpa.mil/

Defense Health Agency https://health.mil/About-MHS/OASDHA/Defense-Health-Agency/

Defense Suicide Prevention Office https://www.dspo.mil/

Defense Technical Information Center https://www.dtic.mil/

Defense Threat Reduction Agency https://www.dtra.mil/

Military Health System Research Symposium https://mhsrs.amedd.army.mil/SitePages/Home.aspx

Military Infectious Diseases Research Program https://midrp.health.mil/

Military Operational Medicine Research Program https://momrp.health.mil/

Navy Bureau of Medicine and Surgery https://www.med.navy.mil/

Naval Health Research Center https://www.med.navy.mil/Naval-Medical-Research-Center/Naval-Health-Research-Center/

Navy and Marine Corps Public Health Center https://www.med.navy.mil/Navy-Marine-Corps-Public-Health-Center/

Naval Medical Research Center https://www.med.navy.mil/Naval-Medical-Research-Center/

Office of Naval Research https://www.med.navy.mil/

Office of the Under Secretary of Defense for Acquisition, Technology and Logistics https://www.acq.osd.mil/

Telemedicine and Advanced Technology Research Center https://www.tatrc.org/

Uniformed Services University of the Health Sciences https://www.usuhs.edu/research

U.S. Air Force 59th Medical Wing https://www.59mdw.af.mil/

U.S. Army Aeromedical Research Laboratory https://usaarl.health.mil/

https://usaari.neaitn.mii/

U.S. Army Combat Capabilities Development Command https://www.army.mil/devcom

U.S. Army Institute of Surgical Research https://usaisr.health.mil/

U.S. Army Medical Materiel Development Activity
https://usammda.health.mil/

U.S. Army Medical Research and Development Command https://mrdc.health.mil/

U.S. Army Medical Research Institute of Infectious Diseases https://usamriid.health.mil/

U.S. Army Research Institute of Environmental Medicine https://usariem.health.mil/

U.S. Army Research Laboratory https://www.arl.army.mil/

U.S. Army Sharp, Ready and Resilient Directorate https://www.armyresilience.army.mil/sharp/i ndex.html

U.S. Department of Defense Blast Injury Research Program https://blastinjuryresearch.health.mil/

U.S. Department of Veterans Affairs, Office of Research and Development https://www.research.va.gov/

U.S. Naval Research Laboratory https://www.nrl.navy.mil/

Walter Reed Army Institute of Research https://wrair.health.mil//